

Edwards Lifesciences' ESG Metrics

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Topic	Metric	Unit	2023	2022	2021	2020	2019	2018	2017	2016	NOTES
<b>Social</b>											
<b>Product Innovation &amp; Safety</b>	Research and development as percent of sales	%	18	17.6	17.3	17.3	17.3	16.7	16.1	14.9	
	FDA class 1 product removals, globally	#	0	0	0	0	4	1	0	0	Question relates only to FDA classification.
	Number of products in the FDA's MedWatch Safety Alerts for Human Medical Products (Medical Devices) database	#	0	0	0	0	0	0	0	0	
<b>Access to Healthcare</b>	Underserved people impacted through our EHM partners that provided education, screening and/or treatment (cumulative)	#	We transitioned to tracking patients post the 2019 campaign			Goal exceeded in 2019	1,700,000	1,000,000	900,000	400,000	In support our first EHM commitment to serve one million people by 2020 (cumulative 2014-2019 data). Our current phase of EHM commitment is to serve the lives of 2.5 million additional underserved people by 2025.
	Underserved patient lives improved by our EHM partners through detection, treatment and/or recovery (cumulative)	#	1,568,295	885,000	400,000	130,000	Goal established in 2020				In support our next phase EHM commitment to serve an additional 2.5 million underserved patients by 2025 (cumulative 2020-2022 data)
	Total Giving (Foundation, Corporate Grants and Product Donations)	USD MM	19	18.5	20.6	20	11.1	8.7	7	6.6	
	<i>Every Heartbeat Matters</i> grants awarded	USD MM	\$4.7M (excludes product)	4.6	4.3	3.1	4.5	4.5	4.5	4.4	
<b>Public Policy</b>	Policy on Political Activities	Y/N	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	<a href="#">Political Disclosure and Accountability</a>
<b>Volunteerism &amp; Giving</b>	Employee participation in charitable giving	%	87	86	82	83	77	77	77	74	
	Facilities engaged in local charitable activity (%)	%	100	100	100	100	100	100	100	100	Scope covers the percent of facilities with more than 50 employees
	Employee participants in matching gift program	#	3,453	3,628	3,824	2,885	NA	NA	NA	NA	
	Organizations supported through matching gift program	#	700+	547	821	771	NA	NA	NA	NA	
	Total foundation giving through matching gift program	USD MM	0.8	1.3	1.1	1.5	0.8	0.6	0.6	0.3	
	Value of Edwards technologies donated for humanitarian patient treatment and recovery	USD MM	3.9	6.5	8.4	9.6	1.7	0.5	0.9	0.8	
	Edwards technologies donated for humanitarian patient treatment and recovery	#	14,000	6,000	11,000	10,000	NA	NA	NA	NA	Approximate figures
<b>Ethics, Corruption &amp; Bribery</b>	Applicable employees certified to ethics code of conduct	%	99	99	99	99	97	99	96	97	
<b>Employee Health &amp; Safety</b>	Lost Time Incident Rate	Total incidents per 200,000 hours worked	0.20	0.25	0.28	0.31	0.33	0.49	0.51	0.35	Includes Edwards employees and temporary employee (contract labor) work-related injuries and illnesses, based on OSHA incidence rate calculation
	Total Recordable Incident Rate	Total recordable incidents per 200,000 hours worked	0.46	0.61	0.68	0.63	0.79	1.04	0.99	1.23	Includes Edwards employees and temporary employee (contract labor) work-related injuries and illnesses, based on OSHA incidence rate calculation
	Fatalities - employees	#	0	0	0	0	0	0	0	0	
	Fatalities - contractors	#	0	0	0	0	0	0	0	0	
	Health and Safety Policy, group-wide (y/n)	Y/N	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	<a href="#">EHS Policy</a>
<b>Human Capital Management</b>	Total number of global employees	#	19,832	17,333	15,689	14,855	13,895	12,823	12,154	11,537	
	People with disabilities, global	%	0.4	0.4	0.6	0.7	NA	NA	NA	NA	

	Share of women in management positions in revenue-generating functions (e.g. sales) as % of all such managers	%	29	27	24	22	NA	NA	NA	NA	
	Share of women in STEM-related positions (as % of total STEM positions)	%	51	51	50	50	NA	NA	NA	NA	
	Employee survey participation rate	%	93	93	90	89	86	NA	90	NA	
	Employee survey respondents	#	17,100	15,400	13,400	12,800	6,500	NA	10,200	NA	2019 survey did not include manufacturing employees; engagement surveys were not conducted in 2018 and 2016.
	Ethnically diverse C-suite executives	#	2	3	4	4	NA	NA	NA	NA	C-suite executives represent the CEO and direct reports to the CEO.
	Ethnically diverse C-suite executives	%	17	24	27	27	NA	NA	NA	NA	C-suite executives represent the CEO and direct reports to the CEO.
	Total base salaries for named executive officers in the Proxy Statement	USD	4,478,450	3,920,747	3,788,118	3,673,942	3,490,100	3,340,619	3,216,108	3,129,171	In 2023, exceptionally, we had six named executive officers, due to the leadership change in our CEO.
	Equal Opportunity Policy	Y/N	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	<a href="#">Equal Employment Opportunity</a>
<b>Supply Chain</b>	Social Supply Chain Management Policy	Y/N	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	<a href="#">Third Party Code of Conduct</a>
	Sustainable Supplier Guidelines encompassing ESG areas that are publicly disclosed	Y/N	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	<a href="#">Third Party Code of Conduct</a>
	Policy Against Child Labor	Y/N	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	<a href="#">Responsible Supply Chain</a>
	Human Rights Policy	Y/N	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	<a href="#">Global Business Practice Standards</a>
<b>Supplier EHS Diligence</b>	New suppliers	#	2,946	2,391	2,178	2,102	2,975	2,787	NA	NA	
	Suppliers completing Level 1 screening	#	2,946	2,391	2,178	2,102	2,975	2,787	NA	NA	All (regulated and non-regulated) suppliers undergo Level 1 public database screening as part of our due diligence process. All regulated and high-spend non-regulated suppliers undergo an additional Level 2 screening which requires completion of Edwards' due diligence questionnaire.
	Suppliers completing Level 2 screening	#	252	219	256	223	212	30	NA	NA	
	Suppliers rejected for EHS concerns	#	0	0	0	0	1	0	0	0	
<b>Governance</b>											
<b>Leadership</b>	Female C-suite executives	#	3	3	3	3	3	4	4	4	C-suite executives represent the CEO and direct reports to the CEO.
	Female C-suite executives	%	21	20	20	20	20	27	27	27	C-suite executives represent the CEO and direct reports to the CEO.
	Ratio of the annual total compensation for the organization's highest-paid individual to the median annual total compensation for all employees (excluding the highest-paid individual)		265 to 1	274 to 1	186 to 1	194 to 1	179 to 1	206 to 1	215 to 1	NA	
<b>Board of Directors</b>	Board size	#	9	9	8	8	8	8	8	9	
	Independent directors	#	8	7	7	7	7	7	7	8	
	Independent directors	%	89	78	88	88	88	88	88	88	
	Female board members	#	3	3	3	3	3	2	2	2	
	Female board members	%	33	33	38	38	38	25	25	25	
	Directors who are ethnically diverse	#	1	2	2	2	NA	NA	NA	NA	Edwards began publicly sharing this figure in 2020.
	Directors who are ethnically diverse	%	11	22	25	25	NA	NA	NA	NA	Edwards began publicly sharing this figure in 2020.
	Average attendance	%	98.5	100	99.3	100	100	97.5	98.9	97.1	
	Average tenure	# years	5	7	7	7	7	7	6	5	

	Board-level oversight for sustainability	Y/N	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	<a href="#">Proxy Statements</a>
	Board diversity policy	Y/N	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	<a href="#">Proxy Statements</a>
<b>Shareholder Rights</b>	One-to-One share to voting rights policy	Y/N	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	<a href="#">Proxy Statements</a>
	Government Ownership of voting rights >5%	Y/N	No	No	No	No	No	No	No	No	
	Family Ownership of voting rights >5%	Y/N	No	No	No	No	No	No	No	No	
	Special stockholder meetings can be called by stockholders owning at least 15% of our outstanding shares	Y/N	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	<a href="#">Proxy Statements</a>
	Majority vote standard in uncontested elections, with director resignation policy	Y/N	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	<a href="#">Proxy Statements</a>
	CEO share ownership as multiple of base salary	position/ base salary	3.4	267	372	261	195	149	115	NA	The decrease in CEO share ownership in 2023 is a result of the change in CEOs in May 2023. The 2023 data reflects the share ownership by our new CEO, Bernard J. Zovighian.
	Average share ownership of named executive officers (other than the CEO) as multiple of base salary	average position/ average base salary	19.5	20	35	36	29	23	19	NA	The identity of the named executive officers (other than the CEO) may change from year to year and is reflected in our Proxy Statement for the relevant year.
<b>Tax</b>	Effective tax rate	%	12.4	13.9	11.7	10.2	10.3	5.1	43.6	22.8	
<b>Environmental</b>											
<b>EHS Management System</b>	Manufacturing operations maintaining ISO 14001:2015 and 45001:2018 certification	%	100	100	100	100	100	100	80	67	
	ISO 14001:2015 certified sites or regions	#	8	8	7	7	6	6	4	4	
	Manufacturing sites	#	7	7	6	6	5	5	4	4	
	Non-manufacturing regions	#	1	1	1	1	1	1	0	0	
	ISO 45001:2018 certified sites or regions	#	7	5	4	3	3	2	0	0	
	Manufacturing sites	#	7	5	4	3	3	2	0	0	
	Non-manufacturing regions	#	0	0	0	0	0	0	0	0	
	Regulatory inspections	#	25	30	26	23	36	30	29	31	
	Regulatory notices of violation	#	1	1	1	0	0	0	1	5	
	Offsite spills or releases	#	0	0	0	0	0	0	0	0	
<b>GHG Emissions</b>	Scope 1 emission, total	MT CO2e	16,120	18,040	14,390	14,416	13,800	12,659	10,891	6,059	GHG Scope 1 emissions calculated in accordance with GHG Protocol's Corporate Accounting and Reporting Standard. GHG Scope 1 emissions for 2021 and 2022 includes company-provided bus transportation. Previously, this data was reported under Scope 3 emissions.
	Scope 2 market-based emissions	MT CO2e	23,783	24,668	31,483	31,799	28,001	NA	NA	NA	GHG Scope 2 emissions calculated in accordance with GHG Protocol's Corporate Accounting and Reporting Standard.
	Scope 2 location-based emissions	MT CO2e	36,249	35,586	33,369	31,845	27,931	28,118	27,678	28,854	
	Scope 1 and 2 market-based emissions, without carbon offsets	MT CO2e	39,903	42,708	45,873	46,215	41,801	40,777	38,569	34,913	
	Scope 1 and 2 market-based emissions, without carbon offsets	% change	(6)	(7)	(1)	11	3	6	10	NA	
	Biogenic CO2 emissions	MT CO2e	0	0	0	0	0	0	0	0	
	Scope 3 emissions	MT CO2e	571,975	510,388	421,278	31,263	51,550	47,204	45,188	41,385	In 2021, we completed our first comprehensive Scope 3 study in accordance with GHG Protocol's Corporate Value Chain (Scope 3)



	Renewable	MWh	73,239	45,161	31,714	27,010	25,380	18,423	19,878	24,117	
	Non-renewable	MWh	42,898	151,193	135,204	131,872	123,917	123,293	112,639	85,507	
	Energy efficiency policy	Y/N	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	<a href="#">EHS Policy</a>
<b>Water</b>	Total water use	m <sup>3</sup>	813,092	683,487	592,215	576,220	546,770	507,161	513,111	459,541	
	Water withdrawal	m <sup>3</sup>	805,195	682,193	585,174	573,020	542,924	507,161	513,111	459,541	
	Third party public utility	m <sup>3</sup>	643,670	580,269	505,867	486,447	471,825	437,016	450,961	435,977	
	Brackish surface water/seawater	m <sup>3</sup>	0	0	0	0	0	0	0	0	
	Produced/entrained water	m <sup>3</sup>	0	0	0	0	0	0	0	0	
	Third party NEWater	m <sup>3</sup>	142,033	81,821	64,091	67,519	58,888	55,888	41,589	32,261	
	Groundwater	m <sup>3</sup>	19,493	20,102	15,217	19,054	12,211	13,456	18,961	2,310	
	Surface water	m <sup>3</sup>	0	0	0	0	0	0	0	0	
	Water withdrawal intensity	m <sup>3</sup> / \$1MM revenue	134	127	112	131	126	136	150	159	
	Water withdrawal intensity	% change	5.5	13.5	(14.5)	4.5	(8.0)	(9.0)	(3.0)	0.0	
	Onsite water treatment and reuse	m <sup>3</sup>	7,897	1,294	7,041	3,200	3,847	NA	NA	NA	
	Water discharge, total	m <sup>3</sup>	805,195	682,193	585,174	573,020	542,923	507,161	513,111	350,000	
	Third party	m <sup>3</sup>	805,195	682,193	585,174	573,020	542,923	507,161	513,111	350,000	
	Fresh surface water	m <sup>3</sup>	0	0	0	0	0	0	0	0	
	Brackish surface water/seawater	m <sup>3</sup>	0	0	0	0	0	0	0	0	
	Groundwater	m <sup>3</sup>	0	0	0	0	0	0	0	0	
	Total water withdrawal from areas with high water stress, total	m <sup>3</sup>	251,566	197,069	190,034	183,256	204,661	NA	NA	NA	
	Surface water	m <sup>3</sup>	0	0	0	0	0	0	0	0	
	Groundwater	m <sup>3</sup>	0	0	0	0	0	0	0	0	
	Brackish surface water/seawater	m <sup>3</sup>	0	0	0	0	0	0	0	0	
Produced/entrained water	m <sup>3</sup>	0	0	0	0	0	0	0	0		
Third party public utility	m <sup>3</sup>	251,566	197,069	190,034	183,256	204,661	NA	NA	NA		
<b>Waste</b>	Total waste	MT	5,612	5,078	4,943	4,691	4,876	4,000	3,819	3,330	
	Total waste intensity	MT / \$1MM revenue	0.93	0.95	0.95	1.07	1.12	1.1	1.1	1.1	
	Total waste intensity	% change	(2.1)	0.0	(13.0)	(4.5)	4.0	1.0	1.0	14.0	
	Hazardous waste generated	MT	1,852	1,625	1,810	1,746	1,329	1,013	1,036	906	
	Recycling or fuels blending	MT	768	651	497	559	208	154	309	303	
	Incineration, general	MT	1039	889	1,242	1,091	1,029	759	689	577	

Incineration, biohazard	MT	31	46	56	63	67	58	20	18	
Landfill	MT	1	18	15	34	26	1	7	3	
Other	MT	13	20	0	0	0	41	11	5	
Non-hazardous waste, total	MT	3,760	3,454	3,133	2,944	1,668	1,091	1,034	925	
Recycling	MT	1,679	1,488	1,525	1,318	1,668	1,091	1,034	925	
Incineration	MT	16	16	16	13	-	-	-	-	
Landfill	MT	2,041	1,950	1,592	1,613	-	-	-	-	
Other	MT	23	0	0	0	-	-	-	-	
Waste reduction policy	Y/N	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	<a href="#">EHS Policy</a>