

Conflict of Interest Code
of the

**LOS ANGELES UNIFIED SCHOOL DISTRICT RISK MANAGEMENT JOINT
POWERS AUTHORITY**

Incorporation of FPPC Regulation 18730 (2 California Code of Regulations, Section
18730) by Reference

The Political Reform Act (Government Code Section 81000, *et seq.*) requires state and local government agencies to adopt and promulgate conflict of interest codes. The Fair Political Practices Commission has adopted a regulation (2 Cal. Code of Regs. 18730), which contains the terms of a standard conflict of interest code. After public notice and hearing, it may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act. Therefore, the terms of 2 California Code of Regulations Section 18730, and any amendments to it duly adopted by the Fair Political Practices Commission, is hereby incorporated into the conflict of interest code of this agency by reference. This regulation and the attached Exhibits designating officials and employees and establishing economic disclosure categories shall constitute the conflict of interest code of the Los Angeles Unified School District Risk Management Authority (LAUSDRMA).

Place of Filing of Statements of Economic Interests

All officials and employees required to submit a statement of economic interests shall file their statements with the agency head, or his or her designee. The agency shall make and retain a copy of all statements filed by its Board of Directors, Alternate Board of Directors, and the Program Administrator/Broker as appropriate, and forward the originals of such statement to the Executive Office of the Board of Supervisors of Los Angeles County.

The agency shall retain the originals of statements for all other Designated Positions named in the agency's conflict of interest code. All retained statements, original or copied, shall be available for public inspection and reproduction (Gov. Code Section 81008).

**LOS ANGELES UNIFIED SCHOOL DISTRICT RISK MANAGEMENT JOINT
POWERS AUTHORITY**

EXHIBIT "A"

Disclosure Categories

Persons designated to report in any of the following categories shall disclose relevant information concerning:

- a) Investments and business positions in;
- b) Sources of Income (including gifts loans and travel payments) from; or
- c) His or her status as a director, officer, partner, trustee, employee or any position of management in any business entities hereafter described.

CATEGORY 1. Business entities which are of the type to supply to LAUSDRMA materials, products, supplies, commodities or equipment utilized by LAUSDRMA.

CATEGORY 2. Business entities which are of the type to supply to LAUSDRMA services, including professional services, utilized by LAUSDRMA.

CATEGORY 3. Business entities engaged in the business of insurance including, but limited to, insurance companies, carriers, holding companies, underwriters, brokers, solicitors, agents, adjusters, claims managers and actuaries.

CATEGORY 4. Financial institutions including, but not limited to, banks, savings and loan associations and credit unions which are located in, doing business in, plan to do business in, or have done business in the jurisdiction of LAUSDRMA.

CATEGORY 5. Business entities or persons who have filed a claim, or have a claim pending, against LAUSDRMA or any member of LAUSDRMA.

CATEGORY 6. All interest in real property located within the jurisdiction of the fund. Persons are not required to disclose a residence, such as a home or vacation cabin, used exclusively as a personal residence; however, a residence in which a person rents out a room or for which a person claims a business deduction may be reportable.

CATEGORY 7. Investments, business positions and sources of income (including gifts, loans and travel payments) in entities in which LAUSDRMA is empowered to invest funds.

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POWERS AUTHORITY**

EXHIBIT "B"

<u>Designated Positions</u>	<u>Disclosure Category</u>
Board of Directors	1, 2, 3, 4, 5, 6, 7
Alternate Board of Directors	1, 2, 3, 4, 5, 6, 7
General Counsel	1, 2, 3, 4, 5, 6, 7
Investment Manager	1, 2, 3, 4, 5, 6, 7
Program Administrator/Broker ¹	1, 2, 3 & 5
Claims Administrator*	1, 2, 3 & 5
Consultants/New Positions ²	

¹ If these designated Employees are business firms, the Statement shall be filed by the individual in the firms who have primary responsibility for conducting the firm's business activities for the LAUSDRMA.

² Consultants/New Positions are included in the list of designated positions and shall disclose pursuant to the broadest disclosure category in the code, subject to the following limitations:

The Program Administrator/Broker or his or her designee may determine in writing that a particular consultant or new position, although a "designated position," is hired to perform a range of duties that is limited in scope and thus is not required to fully comply with disclosure requirements in this section. Such written determination shall include a description of the consultant's or new position's duties and, based upon that description, a statement of the extent of disclosure requirements. The Program Administrator/Broker or his or her designee's determination is a public record and shall be retained for public inspection in the same manner and location as this conflict-of-interest code. (Gov. Code Section 81008.)

Individuals who perform under contract the identical duties of any designated position shall be required to file Statements of Economic Interests disclosing reportable interests in the categories assigned to that designated position.

EFFECTIVE DATE: 08/01/2018