



## Instructions and Guiding Questions for Public Comments: Oral Health Care Services standards

On January 4, 2023, the [Los Angeles County Commission on HIV](https://hiv.lacounty.gov/service-standards) (COH) announced an opportunity for the public to offer comments for the draft service standards for **Oral health Care Services** being updated by the Standards and Best Practices Committee. Consumer, provider, and community feedback is critical for the service standards development process. We invite you to share your comments and distribute the document widely within your networks.

The document can also be accessed at: <https://hiv.lacounty.gov/service-standards>

Please email comments to: [HIVCOMM@LACHIV.ORG](mailto:HIVCOMM@LACHIV.ORG)

**THE PUBLIC COMMENT PERIOD ENDS ON FEBRUARY 3, 2023.**

When providing public comment, consider responding to the following:

1. What barriers currently exist in providing Oral Health Care Services for individuals living with HIV? How do the proposed standards address these barriers?
2. Are the proposed standards reasonable and achievable for provider agencies? How can the proposed standards be made more reasonable and achievable for provider agencies?
3. Are the proposed standards client-centered? How can the proposed standards be made more client-centered?
4. Do the proposed standards meet consumer needs? What is missing regarding service delivery for Oral Health Care Services under the Ryan White HIV/AIDS Program?
5. Do the proposed standards support the importance of the client/provider relationship in determining treatment plan options? How can the proposed standards better support the importance of the client/provider relationship in determining treatment plan options?

**DRAFT UNDER REVIEW**

# **SERVICE STANDARDS FOR ORAL HEALTH CARE SERVICES**



LOS ANGELES COUNTY  
**COMMISSION ON HIV**



**Under review by the Standards and Best Practices  
Committee of the Los Angeles County  
Commission on HIV.**

**Current draft as of 1/4/23**

**IMPORTANT:** The service standards for Oral Health Care Services adhere to requirements and restrictions from the federal agency, Health Resources and Services Administration (HRSA). The key documents used in developing standards are as follows:

[Human Resource Services Administration \(HRSA\) HIV/AIDS Bureau \(HAB\) Policy Clarification Notice \(PCN\) # 16-02 \(Revised 10/22/18\): Ryan White HIV/AIDS Program Services: Eligible Individuals & Allowable Uses of Funds](#)

[HRSA HAB, Division of Metropolitan HIV/AIDS Programs: National Monitoring Standards for Ryan White Part A Grantees: Program – Part A](#)

[Service Standards: Ryan White HIV/AIDS Programs](#)

## **INTRODUCTION**

Service standards for the Ryan White HIV/AIDS Part A Program (RWHAP) outline the elements and expectations a service provider should follow when implementing a specific service category. The standards are written for providers for guidance on what services may be offered when developing their Ryan White Part A programs. The standards set the minimum level of care Ryan White-funded agencies offer to clients, however, providers are encouraged to exceed these standards. The Los Angeles County Commission on HIV (COH) developed Oral Health Care Services standards to establish the minimum services necessary to provide oral health care services to people living with HIV. The development of the standards includes guidance from service providers, people living with HIV, the Los Angeles County Department of Public Health Division of HIV and STD Programs (DHSP), members of the Los Angeles County COH Standards and Best Practices Committee (SBP), caucuses, and the public-at-large.

## **SERVICE DESCRIPTION**

Oral health care services are an integral part of primary medical care for all people living with HIV. Most HIV infected patients can receive routine, comprehensive oral health care in the same manner as any other person. All treatment will be administered according to published research and available standards of care. In addition, the COH developed a Dental Implants addendum to provide specific service delivery guidance to Ryan White Part A-funded agencies regarding the provision of dental implants. For more information, see the [Oral Health Care Service Standard Addendum](#).

Service shall include (but not limited to):

- Routine dental care and oral health education and counseling
- Obtaining a comprehensive medical and oral hygiene history and consulting primary medical providers as necessary
- Providing educational, prophylactic, diagnostic and therapeutic dental services to patients with a written confirmation of HIV status

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- Providing medication appropriate to oral health care services, including all currently approved drugs for HIV-related oral manifestations
- Providing or referring patients, as needed, to health specialists including, but not limited to, periodontists, **prosthodontists**, endodontists, oral surgeons, oral pathologists, oral medicine practitioners and registered dietitians
- Maintaining individual patient dental records in accordance with current standards
- Complying with infection control guidelines and procedures established by the California Occupation Safety and Health Administration (Cal-OSHA)

The following are priorities for HIV oral health treatment:

1. Prevention of oral and/or systemic disease where the oral cavity serves as an entry point
2. Elimination of presenting symptoms
3. Elimination of infection
4. Preservation of dentition and restoration of functioning

Recurring themes in this standard include:

- Good oral health is an important factor in the overall health management of people living with HIV.
- Treatment modifications should only be used when a patient's health status demands them.
- Comprehensive evaluation is a critical component of appropriate oral health care services.
- Treatment plans should be made in conjunction with the patient.
- Collaboration with primary medical providers is necessary to provide comprehensive dental treatment.
- Prevention and early detection should be emphasized.

**GENERAL CONSIDERATIONS: There is no justification to deny or modify dental treatment based on the fact that a patient has tested positive for HIV.** Further, the magnitude of the viral load is not an indicator to withhold dental treatment for the patient. If, however, a patient's medical condition is compromised, treatment adjustments, as with any medically compromised patient, may be necessary.

### **SERVICE/ORGANIZATIONAL LICENSURE CATEGORY**

HIV/AIDS oral health care services shall be provided by dental care professionals who have applicable professional degrees and current California State licenses. Dental staff can include dentists, dental assistants, dental assistants in extended functions, dental hygienists, and dental hygienists in extended practice. Clinical supervision shall be performed by a licensed dentist responsible for all clinical operations.

**Dentists:** A dentist must complete a four-year dental program and possess a Doctor of Dental Surgery (DDS) or Doctor of Dental Medicine (DMD) degree. Additionally, dentists must pass a three-part examination as well as the California jurisprudence exam and a professional ethics exam. Dentists are regulated by the California Dental Board (please see [Dental Board of California](#) for further information).

**Registered Dental Assistants (RDA):** RDAs must possess a diploma or certificate in dental assisting from an educational program approved by the California Dental Board, or 18 months of satisfactory work experience as a dental assistant. RDAs are regulated by the California Dental Board (please see [Dental Board of California](#) for further information).

**Registered Dental Assistants in Extended Functions (RDAEF)<sup>1</sup>:** RDAEF holds a current licensure as a Registered Dental Assistant or has completed the requirements for licensure as a RDA, completed a Board-approved course in the application of Pit & Fissure Sealants, completed a Board-approved RDAEF program, passed a written examination administered by the Board, and submitted fingerprint clearances from both the Department of Justice and the Federal Bureau of Investigation. RDAEFs are regulated by the California Dental Board (please see [Dental Board of California](#) for further information).

**Registered Dental Hygienists (RDH):** RDHs must have been granted a diploma or certificate in dental hygiene from an approved dental hygiene educational program. RDHs are regulated by the California Dental Board (please see [Dental Board of California](#) for further information).

**Registered Dental Hygienists in Extended Functions (RDHEF)<sup>2</sup>:** RDHEF holds a current license as a registered dental hygienist in California, completed clinical training approved by the dental hygiene board in a facility affiliated with a dental school under the direct supervision of the dental school faculty, performed satisfactorily on an examination required by the dental hygiene board, and completed an application form and paid all application fees required by the dental hygiene board. RDHEF are regulated by the California Dental Board (please see [Dental Board of California](#) for further information).

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<sup>1</sup> [Registered Dental Assistant in Extended Functions Applicants - Dental Board of California](#)

<sup>2</sup> [Codes Display Text \(ca.gov\)](#)

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**SERVICE STANDARDS**

All contractors must meet the Universal Standards of Care approved by the COH in addition to the following Oral Health Care Services standards. The Universal Standards of Care can be accessed at: <https://hiv.lacounty.gov/service-standards>

SERVICE COMPONENT	STANDARD	DOCUMENTATION
INTAKE	Intake process will begin during first contact with client.	Intake took in client file to include (at minimum): <ul style="list-style-type: none"> <li>• Documentation of HIV status</li> <li>• Proof of LA County residency</li> <li>• Verification of financial eligibility</li> <li>• Date of intake</li> <li>• Client name, home address, mailing address and telephone number</li> <li>• Emergency and/or next of kin contact name, home address and telephone number</li> </ul>
	Confidentiality Policy and Release of Information will be discussed and completed.	Release of Information signed and dated by client on file and updated annually.
	Consent for Services will be completed.	Signed and dated Consent in client file.
	Client will be informed of Rights and Responsibilities and the Division on HIV and STD Programs (DHSP) <a href="#">Customer Support Program</a> <sup>3</sup> .	Signed, dated forms in client file.
EVALUATION	<p>A comprehensive oral evaluation will be given to patients living with HIV and will include:</p> <ul style="list-style-type: none"> <li>• Documentation of patient's presenting complaint</li> </ul>	Signed, dated evaluation on file in patient chart.

<sup>3</sup> The program aims to assist consumers of HIV and STD services who have experienced difficult accessing services from DHSP-funded providers throughout Los Angeles County.

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<p>evaluation. When indicated, diagnostic tests relevant to the evaluation of the patient should be performed and used in diagnosis and treatment planning. In addition, full medical status information from the patient's medical provider, including most recent lab work results, should be obtained, and considered by the dentist</p>	<ul style="list-style-type: none"><li>• Caries charting</li><li>• Radiographs or panoramic and bitewings and selected periapical films</li><li>• Complete periodontal exam or PSR (Periodontal Screening Record)</li><li>• Comprehensive head and neck exam</li><li>• Complete intra-oral exam, including evaluation for HIV-associated lesions</li><li>• Pain assessment</li></ul>	
	<p>As indicated, diagnostic tests relevant to the evaluation will be used in diagnosis and treatment planning. Biopsies of suspicious oral lesions will be taken.</p>	<p>Signed, dated evaluation in patient chart to detail additional tests.</p>
	<p>Full medical status information will be obtained from the patient's medical provider and considered in the evaluation. The medical history and current medication list will be updated regularly to ensure all medical and treatment changes are noted.</p>	<p>Signed, dated evaluation in patient chart to detail medical status information.</p>
	<p>Obtain a thorough medical, dental, and psychosocial history to assess the patient's oral hygiene habits and periodontal stability and determine the patient's capacity to achieve dental implant success and the possibility of dental implant failure.</p>	<p>Client Chart/Treatment Plan/Provider Progress Notes</p>
	<p>Clinician, after patient assessment, will make necessary referrals to specialty programs including, but not limited to smoking cessation</p>	

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	<p>programs; substance use treatment; medical nutritional therapy, thereby increasing patients' success rate for receiving dental implants.</p> <p>The clinicians referring patients to specialty Oral Healthcare services will complete a referral form, educate the patient, and discuss treatment plan alternatives with patient.</p>	
<p><b>TREATMENT PLANNING</b></p> <p>In conjunction with the patient, each dental provider shall develop a comprehensive, multidisciplinary treatment plan. The patient's primary reason for the visit should be considered by the dental professional when developing the dental treatment plan. Treatment priority should be given to the management of pain, infection, traumatic injury, or other emergency conditions.</p> <p>Dental provider will support and reinforce patient understanding, agreement, and education in the patient's treatment plan. Ensure patient understanding that dental implants are for medical necessity (as determined by the dental provider through assessments and evaluation) and would lead to improved</p>	A comprehensive, multidisciplinary treatment plan will be developed in conjunction with the patient.	Treatment plan dated and signed by both the provider and patient in patient file.
	Patient's primary reason for dental visit should be addressed in treatment plan.	Treatment plan dated and signed by both the provider and patient in the patient file to detail.
	Patient strengths and limitations will be considered in development of treatment plan.	Treatment plan dated and signed by both the provider and patient in patient file to detail.
	Treatment priority will be given to pain management, infection, traumatic injury, or other emergency conditions.	Treatment plan dated and signed by both the provider and patient in patient file to detail.
	Treatment plan will include consideration of the following factors:	Treatment plan dated and signed by both the provider and patient in file to detail.
	<ul style="list-style-type: none"> <li>• Tooth and/or tissue supported prosthetic options</li> <li>• Fixed prostheses, removable prostheses or combination</li> <li>• Soft and hard tissue characteristics and morphology, ridge relationships, occlusion and occlusal forces, aesthetics, and parafunctional habits</li> </ul>	



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<p>HIV health outcomes. Reinforce that Ryan White funds cannot be used to provide dental implants for cosmetic purposes.</p>	<ul style="list-style-type: none"><li>• Restorative implications, endodontic status, tooth position and periodontal prognosis</li><li>• Craniofacial, musculoskeletal relationships</li></ul>	
	Six-month recall schedule will be used to monitor any changes. A three-month recall schedule may be considered to limit disease progression and maintain healthy periodontal tissues in advanced cases of periodontitis or caries.	Signed, dated progress note in patient file to detail.
	Treatment plans will be updated as deemed necessary.	Signed, dated progress note in patient file to detail.
	The receiving clinician will review the referral, consider the patient's medical, dental, and psychosocial history to determine treatment plan options that offer the patient the most successful outcome based on published literature. The clinician will discuss with patient dental implant options with the goal of achieving optimal health outcomes.	Referral in Client Chart/Treatment Plan/Provider Progress Notes
	The clinician will consider the patient's perspective in deciding which treatment plan to use.	Client Chart/Treatment Plan/Provider Progress Notes
	The clinician will discuss treatment plan alternatives with the patient and collaborate with the patient to determine their treatment plan.	
	The clinician and the patient will revisit the treatment plan periodically to determine if any	

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	adjustments are necessary to achieve the treatment goal.	
	The clinician will educate patients on how to maintain dental implants and the importance of routine care.	
<b>INFORMED CONSENT</b>  Patients will sign an informed consent document for all dental procedures. This informed consent process will be ongoing as indicated by the dental treatment plan.	As part of the informed consent process, dental professionals will provide the following before obtaining consent: <ul style="list-style-type: none"><li>• Diagnostic information</li><li>• Recommended treatment</li><li>• Alternative treatment</li><li>• Benefits and risks of treatment</li><li>• Limitations of treatment</li></ul>	Signed, dated progress note or informed consent in patient field to detail.
	Dental providers will describe all options for dental treatment and allow the patient to be part of the decision-making process.	Signed, dated progress note or informed consent in client file to detail.
	After the informed consent discussion, patients will sign an informed consent for all dental procedures.	Signed, dated informed consent in client file.
	This informed consent process will be ongoing as indicated by the dental treatment plan.	Ongoing signed, dated informed consents in client file (as needed).
<b>MEDICAL CONSULTATION AND PRIMARY CARE PARTICIPATION</b>  Dentists can play an important part in reminding patients of the need for regular primary medical care and CBC, CD4, viral load tests every three to six months depending on the past history of HIV infection and level of suppression achieved	Primary care physicians will be consulted when providing dental treatment.	Signed, dated progress note to detail consultations.
	Primary care physicians will be consulted when providing dental treatment depending on the medical needs of the patient. Consultation with medical providers will be: <ul style="list-style-type: none"><li>• To obtain the necessary laboratory test results</li><li>• When there is any doubt about the accuracy of the</li></ul>	Signed, dated progress note to detail consultations.

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<p>and encouraging patients to adhere to their medication regimens. However, even the highest number of viral copies has no impact on the provision of dental care. If a patient is not under the regular care of a primary care physician, the patient should be urged to seek care and a referral to primary care will be made.</p>	<p>information provided by the patient</p> <ul style="list-style-type: none"><li>• When there is a change in the patient's general health, determine the severity of the condition and the need for treatment modifications</li><li>• If after evaluating the patient's medical history and the laboratory tests, the oral health provider decides that treatment should occur in a hospital setting</li><li>• New medications are indicated to ensure medication safety and prevent drug/drug interactions</li><li>• Oral opportunistic infections are presents</li></ul>	
	<p>Dentists will encourage consistent medical care in their patients and provide referrals as necessary. Under certain circumstances, dental professionals may require further medical information to determine safety and appropriateness of care.</p>	<p>Signed, dated progress notes to detail referrals and discussion.</p>
	<p>Programs may decide to discontinue oral health services if a client has not engaged in primary medical care. Patients will be made aware of this policy at time of intake into the program.</p>	<p>Signed, dated progress notes to detail referrals and discussion. Policy on file at provider agency. Intake materials will also state this policy.</p>
	<p>Under certain circumstances, dental professionals may require further medical information to determine</p>	<p>Signed, dated progress notes to detail discussion.</p>

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	safety and appropriateness of care.	
<b>PREVENTION/EARLY INTERVENTION</b> Dental professionals will emphasize prevention and early detection of oral disease by educating patients about preventive oral health practices, including instruction in oral hygiene. In addition, dental professionals may provide counseling regarding behaviors (e.g., tobacco use, unprotected oral sex, body piercing in oral structures) and general health conditions that can compromise oral health. The impact of good nutrition on preserving good oral health should be discussed.	Dental professionals will educate patients about preventive oral health practices.	Signed, dated progress note in patient file to detail education efforts.
	Routine examinations and regular prophylaxis will be scheduled twice a year.	Signed, dated progress note or treatment plan in patient file to detail schedule.
	Dental professionals will provide basic nutritional counseling to assist in oral health maintenance. Referrals to an RD and others will be made, as needed.	Signed, dated progress note to detail nutrition discussion and referrals made.
	Root planing/scaling will be offered as necessary, either directly or by referral.	Signed, dated progress note or treatment plan in patient file to detail.
<b>SPECIAL TREATMENT CONSIDERATIONS</b>	<p>As indicated, the following modifications to standard dental treatment should be considered:</p> <ul style="list-style-type: none"><li>• Bleeding tendencies may determine whether or not to recommend full mouth scaling and root planning or multiple extractions in one visit.</li><li>• In severe cases, patients may be treated more safely in a hospital environment where blood transfusions are available.</li><li>• Deep block injections should be avoided in patients with bleeding tendencies.</li><li>• A pre-treatment antibacterial mouth rinse</li></ul>	Signed, dated process note or treatment plan in patient file to detail treatment modifications and referrals.

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	<p>should be used for those patients with periodontal disease.</p> <ul style="list-style-type: none"><li>• Patients with salivary hypofunction should be closely monitored for caries, periodontitis, soft tissue lesions and salivary gland disease.</li><li>• Fluoride supplements should be prescribed for those with increase caries and salivary hypofunction. Referral to dental professional experiences in oral mucosal and salivary gland diseases should be made in severe cases of xerostomia.</li></ul>	
	Routine examinations and regularly prophylaxis will be scheduled twice a year.	Signed, dated progress note or treatment plan in patient file to detail scheduled.
	Root planning/scaling will be offered as necessary, either directly or by referral.	Signed, dated progress note or treatment plan in patient file to detail.
<b>TRIAGE, REFERRAL, COORDINATION</b>  On occasion, patients will require a higher level of oral health treatment services than a given agency is able to provide. Coordinating oral health care with primary care medical providers is vital. Regular contact with a client's primary care clinic will ensure integration of services and better client care.  Train referring dental providers on how to	<p>As needed, dental providers will refer patients to full range of oral health care providers, including:</p> <ul style="list-style-type: none"><li>• Periodontists</li><li>• Endodontists</li><li>• Prosthodontists</li><li>• Oral surgeons</li><li>• Oral pathologists</li><li>• Oral medicine practitioners</li></ul>	Signed, dated progress note to document referrals in patient chart.
	Providers will attempt to contact a client's primary care clinic if required or as clinically indicated to coordinate and integrate care.	Documentation of contact with primary medical clinics and providers to be placed in progress notes. In

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adequately complete referral forms to allow more flexibility in treatment planning for receiving specialty dental providers.		
<b>OUTREACH</b>  Programs providing dental care for people living with HIV will actively promote their services through known linkages and direct outreach.	Programs will promote dental services for people living with HIV through linkages or outreach.	Service promotion/outreach plan on file at provider agency.
<b>CLIENT RETENTION</b>	Programs shall develop a broken appointment policy to ensure continuity of service and retention of clients.	Written policy on file at provider agency.
	Programs shall provide regular follow-up procedures to encourage and help maintain a client in oral health treatment services.	Documentation of attempts to contact in signed, dated progress notes. Follow-up may include: <ul style="list-style-type: none"><li>• Telephone calls</li><li>• Written correspondence</li><li>• Direct contact</li><li>• Text messaging</li></ul>
<b>STAFFING REQUIREMENTS AND QUALIFICATIONS</b>	Provider will ensure that all staff providing oral health care services will possess applicable professional degrees and current California state licenses.	Documentation of professional degrees and licenses on file.
	Providers shall be trained and oriented before providing oral health care services both in general dentistry and HIV specific oral health services. Training will include: <ul style="list-style-type: none"><li>• Basic HIV information</li><li>• Office and policy orientation</li><li>• Infection control and sterilization techniques</li></ul>	Training documentation on file maintained in personnel record.

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	<ul style="list-style-type: none"><li>• Methods of initial evaluation of the patient living with HIV disease</li><li>• Health maintenance education and counseling</li><li>• Recognition and treatment of common oral manifestations and complications of HIV disease</li><li>• Recognition of oral signs and symptoms of advanced HIV disease</li></ul>	
	Oral health care providers will practice according to California state law and the ethical codes of their respective professional organizations.	Chart review will ensure legally and ethically appropriate practice.
	Dentist in charge of dental operations shall provide clinical supervision to dental staff.	Documentation of supervision on file.
	Dental care staff will complete documentation required by program.	Periodic chart review to confirm.
	Providers will seek continuing education about HIV disease and associated oral health treatment considerations.	Documentation of trainings in employee file.

**ACRONYMS**

AIDS *Acquired Immune Deficiency Syndrome*

CAL-OSHA *California Occupation Safety and Health Administration*

CD4 *Cluster Designation 4*

DDS *Doctor of Dental Surgery*

DHSP *Division of HIV and STD Programs*

HBV *Hepatitis B Virus*

HIPAA *Health Insurance Portability and Accountability Act*

HIV *Human Immunodeficiency Virus*

RDA *Registered Dental Assistant*

RDH *Registered Dental Hygienists*

STD *Sexually Transmitted Disease*

**DEFINITIONS AND DESCRIPTIONS**

**Client registration and intake** is the process that determines a person's eligibility for oral services.

**Registered Dental Assistant (RDA)** is a licensed person who may perform all procedures authorized by the provisions of these regulations and in addition may perform all functions which may be performed by a dental assistant under the designated supervision of a licensed dentist.

**Registered Dental Hygienist (RDH)** is a licensed person who may perform all procedures authorized by the provisions of these regulations and in addition may perform all functions which may be performed by a dental assistant and RDA under the designated supervision of a licensed dentist.

**Oral prophylaxis** is a preventive dental procedure that includes the complete removal of calculus, soft deposits, plaque, and stains from the coronal portions of the tooth. This treatment enables a patient to maintain healthy hard and soft tissues.

**Direct supervision** is supervision of dental procedures based on instructions given by a licensed dentist who must be physically present in the treatment facility during performance of those procedures.

**General supervision** is the supervision of dental procedures based on instructions given by a licensed dentist, but not requiring the physical presence of the supervising dentist during the performance of those procedures.

**Basic supportive dental procedures** are the fundamental duties or functions which may be performed by an unlicensed dental assistant under the supervision of a licensed dentist because of their technically elementary characteristics, complete reversibility, and inability to precipitate potentially hazardous conditions for the patient being treated.

**Standard precautions** are an approach to infection control that integrates and expands the elements of universal precautions (human blood and certain human body fluids treated as if known to be infectious for HIV, Hepatitis B Virus (HBV) and other blood-borne pathogens). Standard precautions apply to contact with all body fluids, secretions, and excretions (except for sweat), regardless of whether they contain blood, and to contact with non-intact skin and mucous membranes.



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