

Conflict Check

See the big picture of risk across your organization

The benefits of ComplySci Conflict Check

Evaluate risk holistically

- » Connect and normalize compliance data spanning teams, departments and offices.

Build synergies across teams

- » Drive cross-functional collaboration and risk evaluations throughout the entire business.

Make informed decisions

- » Proactively evaluate all potential conflicts before approving a preclearance or deal request.

Uncover all conflicts of interest

Compliance officers are managing more information than ever before, from personal trades, political contributions and outside business affiliations to insider information, wall crossing requests and restricted lists. Searching for potential conflicts of interest across these data streams is often manual, resulting in an incomplete view of an organization's risk profile.

ComplySci helps organizations break down data silos and manage all compliance activities on a single customizable platform.

Now, with our industry-leading Conflict Check, compliance teams can search for potential conflicts across all firm and employee data with one global search, proactively checking for conflicts of interest and providing a comprehensive view of risk across an organization.

How it works

With ComplySci Conflict Check, compliance teams can, for the first time, connect disparate data sets to identify potential conflicts of interest and evaluate risk across an organization.

Employees and compliance teams enter any type of compliance information into the ComplySci platform, such as preclearance requests, political contributions, outside business affiliations and other activities related to a firm's Code of Ethics policies or Control Room-related activities like insider information and expert network requests.

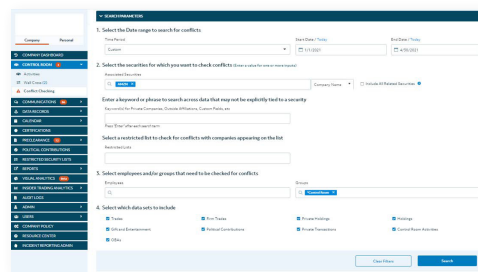
Conflict Check reviews all firm data to identify conflicts previously hidden by siloed data streams. With Global Conflict Check, compliance teams use customizable search parameters, such as keyword, restricted list, security, employee and date range, to check for conflicts across all firm data. With OneClick Conflict Check, compliance teams can evaluate potential risk as they process compliance activities, so potential conflicts associated with a preclearance request, restricted list or employee query are displayed in real time.

The power of ComplySci Conflict Check

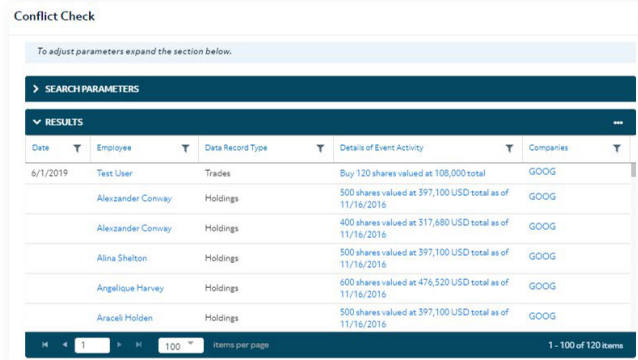
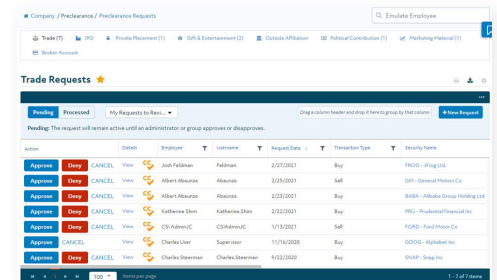
With Conflict Check, compliance teams eliminate time-consuming and incomplete manual data reviews across departments and systems and proactively uncover potential risk before the firm encounters a conflict of interest.

Global Conflict Check and OneClick Conflict Check provide compliance teams a comprehensive view of risk across an organization and integrate automated risk evaluation into all compliance activities, helping businesses make informed and strategic compliance decisions.

Global Conflict Check



OneClick Conflict Check



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by COMPLY

COMPLY



ComplySci.com
(1) 212-327-1533
44 (0) 207-081-3111