EXECUTIVE OFFICE

BOARD OF SUPERVISORS
COUNTY OF LOS ANGELES

Monday, July 27, 2026

Los Angeles County Ethics Commission

Background

In 2024, Los Angeles County voters passed Measure G, which requires the creation of an independent Ethics Commission and Office of Ethics Compliance to promote ethical, transparent, and accountable County government.

The Los Angeles County Ethics Commission is expected to serve as an independent ethics oversight body for the County. The Commission's role includes overseeing and enforcing laws related to campaign financing, lobbying, conflicts of interest, governmental ethics, and related matters; providing strategic oversight of the Office of Ethics Compliance; adopting rules, regulations, policies, complaint procedures, and penalty guidelines; issuing formal opinions; and adjudicating enforcement matters, including making final decisions following administrative hearings and imposing penalties where authorized.

The Office of Ethics Compliance will carry out the Commission's day-to-day work, which includes education and training, advice and guidance, public transparency and disclosure administration, investigations, audits, referrals to other enforcement agencies, and public reporting.

Ethics Commissioners may be asked to review sensitive or complex matters and must exercise independent judgment, fairness, impartiality, and discretion. Commissioners shall not act as representatives of any nominating authority, elected official, organization, or interest group.

The Commission will meet at least six times per calendar year, and meetings will be subject to the Ralph M. Brown Act. Commissioners will need to prepare for meetings, review materials, participate in hearings or other Commission activities, complete required trainings or disclosures, and comply with applicable ethics and service restrictions.

The time commitment is subject to change and may vary based on the Commission's meeting schedule, agenda items, enforcement matters, hearings, subcommittee meetings, preparation time, and other Commission business. Commissioners should expect to spend approximately 5 to 20 hours per month on Commission-related work. During start-up, the time required initially may be greater. Commissioners are expected to receive $250 per meeting and reimbursement for reasonable expenses incurred in the performance of their official duties, in accordance with applicable County Code and policies.

Structure of the Ethics Commission

The Los Angeles County Ethics Commission will have seven members. Applications submitted through this process may be reviewed for consideration by the applicable nominating authority and/or the initial Ethics Commission, subject to the final ordinance and formal appointment by the Board of Supervisors.

The appointment structure includes the following nominating authorities:

- **Governance Reform Task Force (GRTF) initial nomination / County Executive seat beginning in 2029**- One member will initially be nominated through the GRTF process following a public recruitment and application process. Beginning January 1, 2029, this member will instead be nominated by the elected County Executive. This nominee must have a background in public information and public meetings, community outreach and engagement, or using technology as it relates to open government. The initial term for this seat will expire on June 30, 2029.
- **Chair of the Board of Supervisors nomination**- One member will be nominated by the Chair of the Board of Supervisors. This nominee must have a background in public policy or public law. The initial term for this seat will expire on June 30, 2030.
- **Assessor nomination**- One member will be nominated by the Assessor. This nominee must have a background in campaign finance, accounting, auditing, and compliance with ethics laws in the public or private sector, or protection of whistleblowers. The initial term for this seat will expire on June 30, 2027.
- **Commission-nominated public applicant pool**- Once the first three nominees are appointed, the Commission will nominate the remaining four members at a public meeting following a public recruitment and application process, which should include outreach to communities that are underrepresented in the County's political process. These nominees should be broadly representative of the general public, and at least one Commission-nominated member must reside in an unincorporated area of Los Angeles County. The initial terms for two Commission-nominated seats will expire on June 30, 2028, and the initial terms for the remaining two Commission-nominated seats will expire on June 30, 2031.

After the initial staggered terms, Commissioners will serve five-year terms beginning July 1 and ending June 30. A member who has served a complete five-year term is not eligible for reappointment. If a replacement has not been appointed by the end of a member's term, the member may continue serving in a holdover capacity until a new member is appointed, for a period not to exceed one year.

Nominating authorities shall value lived experience, community leadership, traditional and non-traditional expertise, and working-class accessibility. Nominating authorities and the Board shall consider relevant analytical skills, ability to be impartial, and appreciation for the County's diverse demographics, to the extent feasible and permissible by law.

Commissioners may also be subject to attendance requirements, including possible vacancy provisions for missed meetings, ceasing to be a county resident, removal, or other circumstances specified by ordinance.

Application Overview

The County of Los Angeles is accepting applications for individuals interested in serving on the Los Angeles County Ethics Commission.

The application period will be open from July 6, 2026, through July 26, 2026.

The information submitted in this application will be used to determine eligibility, qualifications, and suitability for consideration for the Los Angeles County Ethics Commission and for review, nomination, and appointment purposes.

Applications and related materials may be public records subject to disclosure under the California Public

Records Act, except as otherwise protected by law. Application materials may also be reviewed, discussed, displayed, posted, recorded, or otherwise made available as part of a public screening, interview, nomination, or appointment process, including at a public meeting or in materials provided to the GRTF, the Ethics Commission, the Executive Office of the Board of Supervisors, the Board of Supervisors, or other applicable nominating or appointing authority. Interviews may be recorded for review by applicable nominating or appointing authority.

The review and selection process may include initial screening or narrowing by an ad hoc committee, subcommittee, staff, or other reviewing body. The process may also include use of publicly discussed or approved screening criteria, a rubric, or a narrowing process. Not all applicants will be interviewed or selected as finalists.

Applicants selected as finalists may have their names, application materials, responses, qualifications, and related information released publicly, posted with meeting materials, discussed at a public meeting, and considered during public comment, public interviews, deliberations, nomination, and appointment proceedings.

Finalists may be asked to participate in a public interview before the GRTF or another nominating or appointing authority. Public interviews may be livestreamed, recorded, posted, or otherwise made available to the public. Deliberations regarding applicants, finalists, nominees, rankings, alternates, or recommendations may occur in closed session to the extent permitted by law, and any final recommendation, nomination, or appointment action may be reported or acted upon publicly.

Do not submit Social Security numbers, driver's license numbers, financial account numbers, or other personal identifying information that is not requested in this application.

Submitting an application does not guarantee nomination or appointment. Information provided in the application may be used to determine which nominating authority or background requirement may be applicable.

Section 1 - Minimum Requirements

Fields marked with a red asterisk must be completed.

First Name James

Middle Name P. Scott

Last Name Shotwell

Pronouns

Email Address

Primary Phone Number

Alternate Phone Number

Home Address

Is your mailing address different from your home address?

Are you currently a resident of Los Angeles County? Yes

In which Supervisorial District do you reside? If you are not sure, please click **here** to help determine your supervisorial district in Los Angeles County.

Note: Staff may verify district information as part of the application review process.

Do you reside in an unincorporated area of Los Angeles County? If you are not sure, please click **here** to help determine whether your home address is located in an unincorporated area of Los Angeles County.

No

Note: At least one Commission-nominated member of the Ethics Commission must reside in an unincorporated area of Los Angeles County. Staff may verify this information as part of the application review process.

If appointed, are you willing and able to attend Commission meetings, required trainings/orientations, hearings, and related activities, including matters involving ethics, transparency, accountability, compliance, and enforcement? Yes

When are you generally available for Commission meetings? Either

Do you need accommodation or assistance to participate in the application, interview, orientation, or appointment process?

Form 700 Statement of Economic Interests: Ethics Commissioners will be required to file a Form 700, Statement of Economic Interests, disclosing financial interests as required by law.

Are you willing to complete and file a Form 700, Statement of Economic Interests?

Yes

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Section 2 - Disqualifying Interests

Appointment to the Ethics Commission is subject to eligibility requirements and restrictions involving current, planned, or prior activities, roles, or relationships. The following questions are used to help confirm eligibility and identify any issues that may require additional review. A "Yes" or "Not sure" response does not automatically disqualify you, but additional information may be requested.

Are you currently running, or planning to run, for elected office in Los Angeles County or for any other elected office in a jurisdiction that intersects/overlaps with Los Angeles County?

No

In the last two years, have you served as a County elected official?

No

In the last two years, have you been a candidate for County elected office?

No

In the last two years, have you been an immediate family member of a County elected official?

No

Note: For this application, immediate family means spouse, registered domestic partner, parent, sibling, or child of a County elected official.

In the last two years, have you served as an employee or paid consultant to a County elected official?

No

In the last two years, have you served as an employee or paid consultant to a candidate for County elected office, or to a campaign committee controlled by a County elected official?

No

In the last two years, have you served as an officer or paid employee of a political party?

No

In the last two years, have you been registered as a County lobbyist?

No

In the last two years, have you qualified as a "**major donor**" under the Political Reform Act, generally meaning that you made contributions totaling $10,000 or more in a calendar year?

No

In the last two years, have you contributed more than $1,000 total to one or more candidates for Los Angeles County elected office?	No
Are there any other facts or circumstances that may raise a question about your eligibility or ability to be impartial on the Ethics Commission?	No

Section 3: Required Acknowledgements

If appointed, Ethics Commissioners may be subject to restrictions during service and, in some cases, for one or two years after your service ends, unless preempted or prohibited by law. Please carefully review each statement below and indicate your acknowledgment at the end of this section.

- I understand that, if appointed, I may be restricted from seeking County elected office during my service and for two years after my service ends, and from seeking other elected office in a jurisdiction that overlaps with Los Angeles County during my service, subject to the final ordinance and applicable law.
- I understand that, if appointed, I may be restricted from serving as an officer or employee of a political party during my service.
- I understand that, if appointed, I may be restricted from employing, or being employed as, a person required to register as a county lobbyist during my service and for one year after my service ends.
- I understand that, if appointed, I may be restricted from employment, contractual relationships, gifts, or compensation from County elected officials during my service and for one year after my service ends.
- I understand that, if appointed, Ethics Commissioners may be restricted during their service from endorsing, supporting, opposing, contributing to, volunteering for, or working on behalf of any candidate or ballot measure in a County election, or any County elected official running for any elected office, subject to the final ordinance and applicable law.
- I understand that, if appointed, I may be restricted from receiving a no-bid County contract during my service and for one year after my service ends.
- I understand that Ethics Commissioners must exercise independent judgment and not serve as representatives of the person or entity involved in their nomination or appointment.
- I understand that, in connection with Commission-nominated seats, Commission members may be subject to a no-contact rule prohibiting communications with County elected officials or their agents about whom the Commission should nominate, except through public comment at a Commission meeting.

Acknowledgement

Yes, I acknowledge all of the statements above.

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Section 4 - Background and Experience

Please keep responses brief and focused. The questions below are intended to help identify whether applicants have background, experience, education, training, or other relevant knowledge in areas identified for the Ethics Commission's appointment structure. Applicants are not required to select a nominating authority or appointment category.

Why are you interested in serving on the Los Angeles County Ethics Commission?

I am deeply committed to the preservation of democratic principles and concerned that too many individuals in government today prioritize personal interests over their duty to serve the public. While everyone has a responsibility to treat others with civility, respect, and fairness, and to engage with others equitably in both life and business, those who serve in a public capacity—whether elected, appointed, or employed—bear a heightened responsibility to uphold these principles, place the public interest first, and earn the public's trust.

I also believe that citizenship entails a responsibility to contribute to the community in ways that align with one's talents, experience, and interests. My commitment to ethical leadership has shaped both my professional career and my public service. As Director of Corporate Compliance & Information Governance for Southern California Edison, I helped establish and promote the company's standards for ethical conduct, accountability, and compliance. I also had the privilege of serving on the inaugural Ethics Commission for the City of Long Beach, where I worked to advance transparency, integrity, and principled decision-making in city government.

I can apply my experience, along with my passion for good government and ethics, in enhancing our County's ethics program and ensuring that it promotes honest, open government and has the trust of the citizens.

Open Government, Public Information, and Public Engagement. Please select any areas in which you have experience, education, training, or other relevant knowledge. Select all that apply.

- Public information
- Public meetings

Please briefly describe the background, experience, education, training, or other relevant knowledge for each area selected above.

Having served on the City of Long Beach's Ethics Commission, I have understand and appreciate the requirements for open meeitngs and transperancy of the information on which public servents reply. To bolster that requirement, we enacted a policy requiring commissioners to disclose any nonpublic informatioin on which they relied and to log any external communications we had related to matters before the commission.

Public Policy and Public Law. Please select any areas in which you have experience, education, training, or other relevant knowledge. Select all that apply.

- None of the above

Campaign Finance, Accounting, Auditing, Ethics Compliance, and Whistleblower Protection. Please select any areas in which you have experience, education, training, or other relevant knowledge. Select all that apply.

- Campaign finance
- Ethics compliance in the public or private sector
- Protection of whistleblowers

Please briefly describe the background, experience, education, training, or other relevant knowledge for each area selected above.

As Director of Corporate Compliance & Information Governance at Southern California Edison, I led the organization's compliance function and was responsible for ensuring the company's Ethics and Compliance Program met the expectations set for in the Federal Sentencing Guidelines for Organizations. The Federal Sentencing Guidelines is a primary benchmark on which ethics and compliance programs are evaluated. The Company's program included a Compliance, Reporting and Non-retaliation Policy, which along with other controls, protected whistleblowers. In addition, I was responsible for ensuring that each of the company's compliance programs had risk-based controls in place to ensure compliance with federal, state and local laws, including the company's compliance with California's Fair Political Practices Commission requirements and related statutes.

What expertise, analytical skills, community leadership or involvement, lived experiences, traditional or non-traditional expertise, or other perspectives would you bring to the Ethics Commission? In your response, please discuss any experience you have with ethics, campaign finance, lobbying, transparency, accountability, or government oversight laws, as well as how your professional, volunteer, educational, community, or life experiences would help you effectively serve as a Commissioner. (Desirable qualifications)

In addition to more than twenty years of experience as a regulatory attorney, I have over sixteen years of direct experience in ethics and compliance.

In addition to more than twenty years of experience as a regulatory attorney, I have over sixteen years of direct experience in ethics and compliance.

As a member of the City of Long Beach's inaugural Ethics Commission, established in 2019, I helped:

- Conduct the application, review, and public interview process to select the City's first Independent Redistricting Commission.
- Develop the City's Code of Conduct & Ethics and Supplier Code of Conduct.
- Initiate and oversee comprehensive revisions to the City's ethics training program.
- Implement ethics communications and outreach for City employees, commissioners, and elected officials.
- Review City processes for compliance with the California Political Reform Act and Fair Political Practices Commission requirements, including ongoing review of required filings.
- Propose significant enhancements to the City's lobbying ordinance for consideration by the City Council.

I am especially proud of my work on the Commission's Ad Hoc Committee that developed a comprehensive Ethics and Good Government Ordinance for the City of Long Beach. The City Council approved the proposal in November 2025 and directed the City Attorney to prepare the ordinance for passage as the Framework for Accountability, Integrity, and Reporting (FAIR) Act. The FAIR Act will substantially strengthen and consolidate the ethics requirements applicable to the City's elected officials, commissioners, and employees, creating a unified framework for ethical conduct, compliance standards, disclosure requirements, and enforcement. Among other provisions, it will address conflicts of interest, the use of public resources, outside employment and activities, political activities, and ex parte communications.

As Director of Corporate Compliance & Information Governance at Southern California Edison, I led the organization's ethics and compliance function, with responsibility for promoting a culture of integrity, accountability, and compliance throughout the company and its supplier network. My team established code of conduct, implemented policies and training, monitored compliance, and worked to ensure that employees could raise issues without fear of retaliation. These efforts were designed to align with the U.S. Federal Sentencing Guidelines for Organizations. I also oversaw fifteen compliance programs covering a broad range of regulatory and legal obligations, including political activity compliance and Foreign Corrupt Practices Act compliance. This experience provided me with extensive practical expertise in developing ethics frameworks, promoting ethical decision-making, ensuring accountability, and building trust through effective governance.

As a Commissioner, you may be required to evaluate evidence, determine whether a person violated an ethics or campaign finance law, and, if so, decide whether a monetary penalty should be imposed. Please describe your ability to exercise independent judgment and act impartially and fairly when evaluating information and making decisions. If applicable, provide examples from your professional, volunteer, community, or personal experiences. (Desirable qualifications)

As Director of Corporate Compliance and Information Governance at Southern California Edison, my focus was on promoting ethical conduct and ensuring controls were to prevent noncompliance with federal, state, and local laws. But, in that role, I had the opportunity to personally conduct some internal investigations into code and policy violations, while assisting on others, and where appropriate recommended discipline. In addition, I oversaw the company's 15 compliance programs, including the Political Activities Compliance Program, and their various investigations into potential compliance violations, reporting violations to government authorities and, in a few cases, cooperation with external investigations.

While the Long Beach Ethics Commission did not have investigatory authority per our voter-approved charter, investigations were occasionally sent to the Commission for review and recommendations. In addition, I served on a three-member Ad Hoc Committee that benchmarked other ethics commissions around the nations and proposed enhancements for the Commission's, City Council's and potentially the voter's consideration. This included the need for the commission to have full investigatory authority.

Los Angeles County is one of the most diverse counties in the nation. Please describe your appreciation for the County's diverse demographics and how that appreciation would inform your service as a Commissioner. You may include examples from your professional, volunteer, educational, community, or life experiences, including any experience serving, engaging with, or working alongside diverse communities in Los Angeles County. (Desirable qualifications)

I have lived in Los Angeles County since 1990, with the exception of five years spent in Washington, D.C. I love our County's diversity—not only its people, but also the cultures, cuisines, music, and industries that drive its economy and make Los Angeles one of the most dynamic and unique regions in the world. My work with the Long Beach Public Library Foundation, including serving as its President, reflects my commitment to preserving and expanding access to those cultural riches for all members of our community. The Foundation promotes literacy and supports the programs and resources of the City's twelve public libraries, including the Michelle Obama Neighborhood Library and the Billie Jean King Main Library. Among its accomplishments, the Foundation helped support the acquisition of the nation's largest public library collection of Khmer-language materials, including more than 5,000 books, children's graphic novels, and historical texts, while also improving the public's ability to discover and access those resources

My most recent work, serving as a tenant defense attorney for the Inner City Law Center (ICLC), has provided me with a deeper understanding of the challenges faced by many of our residents and the importance of the County infrastructure in protecting them. As an attorney with ICLC's Tenant Defense Project, I represent low-income families facing eviction in Los Angeles Superior Court, often in cases involving unlawful eviction practices. I strive to help my clients achieve the best possible outcome—whether by keeping them in their homes or providing them with sufficient time to secure alternative housing. In some cases, our work is the last safeguard against homelessness, making it among the most meaningful service I have undertaken.

Experiences like these have shaped my appreciation for the breath of diversity in Los Angeles, while strengthening my sensitivity to the challenges that some face. As an ethics commissioner, I would help ensure that those in public service put the public's interest above their own personal interests and uphold

the principles of civility, respect and fairness for everyone.

List any County, city, state, nonprofit, community, civic, advisory, professional, or other boards, commissions, committees, or organizations on which you currently serve or previously served. Include offices held, if any. If none, write "N/A."

City of Long Beach Ethics Commission – Commissioner, 2019 * 2025. Seven-member chartered commission responsible for implementing, promoting, and administering the city's conflict of interest and government ethics program, along with campaign financing and lobbying ordinances.

Long Beach Public Library Foundation, Member, Board of Directors, 2012 – 2020 (President, 2016 – 2017). Nonprofit organization dedicated to enhancing the Long Beach Public Library and encouraging literacy and education for all members of the community.

Scouting America (formerly Boy Scouts of America), Long Beach Area Council – Member, Board of Directors, 2024 – present. Nonprofit organization dedicated to offering quality youth and outdoor programs to all youth in the Long Beach area.

Military Women in Need (presently known as the Foundation for Women Warriors). Member, Board of Directors, 2011 – 2016. Nonprofit organization dedicated to helping economically disadvantaged female veterans with basic living expenses and assistance in times of need.

American Bar Association, Infrastructure and Regulated Industries Section, Council Group Member, 1998 – present (Chair, Corporate Governance and Compliance Committee, 2017 – present).

Have you ever been employed by the County of Los Angeles?

No

Please provide up to three professional, civic, community, or personal references who can speak to your experience, qualifications, community leadership, judgment, impartiality, or other background relevant to service on the Ethics Commission.

Name	Relationship to Applicant	Organization (if applicable)	Phone	Email
Bobbie Pollack	Chair of the Commission on which I served	Long Beach Ethics Commission		
David Garcia	Former Colleague	Judge, Los Angeles Superior Court		
Adam Murray	CEO of the Non-profit Legal Services Provider that I work for	Inner City Law Center		

You may upload a resume, cover letter, or personal statement using the upload button below. Uploading a document is optional and is not required for your application to be considered. Uploaded documents will be considered part of your application materials and may be subject to the public records and public process

disclosures described in this application.

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Section 5 - Optional Voluntary Information

The County of Los Angeles seeks broad, inclusive, and diverse participation on its commissions and public bodies. The following information is voluntary. It will be used only to help understand the overall diversity and representativeness of the applicant pool and Commission composition, consistent with applicable law. You may select “Prefer not to say” for any question.

How did you hear about this opportunity?

Race / Ethnicity

Gender Identity

Disability Status

Section 6 - Certification and Signature

Certification

By signing below, I certify and acknowledge that:

I have read and understand the application instructions.

I certify that the information I provided is true and correct to the best of my knowledge.

I understand that incomplete applications may not be considered.

I understand that submission of an application does not guarantee nomination or appointment.

I understand that the County may verify the information I provided and may contact other entities or persons to confirm information relevant to my application.

I understand that my application, reference information, uploaded documents, interview materials, and related materials may be public records subject to disclosure under the California Public Records Act, except as otherwise protected by law. I also understand that, if I am selected as a finalist, my name, application materials, responses, qualifications, interview, and related information may be released publicly, posted with meeting materials, discussed at a public meeting, considered during public comment, livestreamed, recorded, or otherwise made available as part of a screening, interview, deliberation, nomination, or appointment process.

I understand that, if nominated or appointed, I may be required to complete additional forms, disclosures, ethics training, onboarding requirements, or conflict-of-interest review.

I understand that, if appointed, I must comply with all applicable laws, rules, ordinances, and service restrictions governing Ethics Commissioners.

Printed Name J. P. Shotwell

Signature

Date Monday, July 27, 2026

JAMES P. SCOTT SHOTWELL

PROFESSIONAL EXPERIENCE

Inner City Law Center, Los Angeles, CA.

August 2025 — Present. Senior Staff Attorney – Tennant Defense Project. Tenant defense attorney representing low-income individuals and families in Los Angeles Superior Court unlawful detainer/eviction proceedings.

Southern California Edison Company, Rosemead, CA.

August 2009 — November 2023. Director, Corporate Compliance & Information Governance. Responsibilities included promotion of an ethical culture and the development, implementation and oversight of company-wide programs for compliance management, compliance risk management, privacy compliance, information governance, and policy management.

- Managed staff of 31 (including records staff) and $5.4 million budget.
- Assessed compliance areas, including environmental, employment, equal opportunity, and securities compliance, against the Ethics and Compliance (E&C) Program framework & standards and facilitated program enhancements; monitored ongoing compliance posture and control effectiveness.
- Developed the Enterprise Compliance Management System, an SAP-based solution, to track compliance requirements and related controls and to facilitate monitoring of control execution and responsive actions plans.
- Managed, reviewed, and tracked compliance risk assessments for 16 compliance areas, and partnered with Risk Management in integrating compliance risk assessments into annual enterprise risk register.
- Developed employee and supplier Codes of Conduct, along with core company policies, such as Conflicts of Interest and Anticorruption.
- Provided outreach, training and tools (e.g., quick reference guides, vignettes, portal pages) to assist employees in applying ethical and compliant behaviors and understanding company policies, including conducting numerous live training sessions.
- Developed Values Ambassador Program to promote an ethical, diverse and legally compliant workplace culture and early detection of issues.
- Worked with a cross-functional team to build an effective Helpline & Investigations Program, including having direct responsibility for the case management system and advice inquiries.
- Revamped processes for creating, reviewing, revising, communicating and canceling Company policies, procedures, and standards.
- Reviewed alleged code of conduct and policy violations.
- Implemented a Privacy Compliance Program, with new Privacy Policy and associated controls, to protect Personally Identifiable Information and customer interval energy usage data.

- Revamped and managed government contracting compliance program assuring compliance with Federal Acquisition Requirements and state contracting requirements.
- Developed third-party risk management programs in compliance with Foreign Corrupt Practices Act.
- Created and managed disability right compliance program, focusing on the impact of utility construction and maintenance work on the access and functional needs community.
- Revamped the Company's Information Governance Program structure, with new Records Management Policy and associated procedures, new consolidated Records Retention Schedule, electronic signature and filing requirements, organizational unit records management process reviews, assessment of critical records, and Enterprise Content Management approach.

June 2005 — August 2009. Director, Federal Regulatory Affairs. Principal interface between the Company and Federal Energy Regulatory Commission (FERC). Responsibilities included developing and executing strategies for obtaining favorable orders, establishing and maintaining productive working relationships with Commissioners, advisors, and senior decisional staff, monitoring and responding to emerging developments in energy policy, and establishing and maintaining productive working relationships with allies in the energy policy sector, including investor-owned utilities, trade associations, and the California Independent System Operator.

- Represented the Company in developing the Edison Electric Institute's (EEI) strategy for the implementation of the Energy Policy Act of 2005, including the establishment of a backstop transmission siting process, transmission investment incentives, and the Electricity Reliability Organization.
- Managed FERC relations for the Devers-Palo Verde 2 (DPV2), Tehachapi Renewable Transmission Project, and Rancho Vista substation investment incentive ratemaking petition, and backstop siting process.
- Led EEI member company efforts to advocate reformation of FERC policy on Return on Equity and the "automatic" five-month suspension.

June 1990 — May 2005. Attorney and Senior Attorney. Represented the Company before the California Public Utilities Commission in pricing, reasonableness review, resource planning, revenue requirement, rulemaking, and complaint proceedings. Practice involved preparation of discovery, testimony, briefs, and pleadings, along with developing Company policy, briefing executive management, cross-examining opposing party witnesses, and making oral arguments. Practice also involved negotiating special contract rates with customers and counseling client organizations regarding their interactions with customers.

- Litigated the annual implementation and allocation of the California Department of Water Resources' revenue requirements.
- Litigated SCE's 2004 Short-Term Procurement Plan proceeding.
- Managed the 2000-2001 energy crisis Rate Stabilization Plan proceeding.
- Litigated Annual Transition Cost Proceedings, Revenue Adjustment Proceedings, and Energy Cost Adjustment Clause proceedings.

- Testified before the California State Assembly Committee on Utilities and Commerce regarding 1996 legislation addressing the conversion of master-metered facilities to utility ownership.
- Litigated the Pricing Phase of the 1995 General Rate Case.

EDUCATION

University of Arizona College of Law, Tucson, AZ. J.D., May 1990.

Arizona State University, College of Liberal Arts Honors Program (presently known as the Barrett Honors College), Tempe, AZ. B.S. Economics, Cum Laude, May 1987.

Honors Thesis: *An Empirical Examination of the Impacts of Investment Diversification by Privately Owned Investor Utilities*, 1987.

PROFESSIONAL ASSOCIATIONS

State Bar of California – active status (Bar No.: 152678)

Ethics and Compliance Initiative (formerly ECOA)

Society of Corporate Compliance and Ethics

Certified Compliance and Ethics Professional (CCEP) (2014 – 2017)

American Bar Association:

Infrastructure and Regulated Industries Section (1998 – present; Council Member, 2005 – 2009; Vice Chairman, Electricity Committee, 2006 – present; Vice Chairman, Periodicals Committee, 2000 – present; Vice Chairman, Membership Committee, 2000 – present; Vice Chairman, Corporate Governance and Compliance Committee, 2017 – present; Young Lawyers Division Liaison, 1998 – 2000).

Conference of California Public Utility Counsel (1995 – 2005; Director, Board of Governors, 2003 – 2005).

CIVIC / PHILANTHROPIC ACTIVITIES

City of Long Beach Ethics Commission

September 2019 — present. Commissioner. Seven-member chartered commission responsible for the implementation and administration of the city's conflict of interest and government ethics program, along with campaign financing and lobbying ordinances.

- As one of the first four commissioners appointed by the Mayor and the City Auditor, conducted an application, review, and public interview process to select the remaining three commissioners. (First four commissioners limited to a total of 6 years in office; remaining commissioners can serve 8 years.)
- Conducted an application, review, and public interview process to select the city's first Redistricting Commission.
- Initiated the creation of an ethics function within the city and guided the office's development.
- Oversaw ethics communication and outreach for city employees, commission appointees, and elected officials.
- Developed city's Code of Conduct & Ethics and the city's Supplier Code of Conduct.

- Initiated and oversaw comprehensive revisions to the city's ethics training program.
- Reviewed city's processes to comply with the California Political Reform Act and the state's Fair Political Practices Commission's requirements, including ongoing review of filings.
- Proposed revisions to the city's lobbying ordinance for the City Council's consideration.
- Developed a comprehensive draft Ethics and Good Government ordinance (including conflict of interest, use of resources, and outside activity, political activities, and ex parte regulations) for the City Council's adoption.

Scouting America (formerly Boy Scouts of America), Long Beach Area Council, a nonprofit organization dedicated to offering quality Scouting programs to youth in the Long Beach area. (2024 – present, Member, Board of Directors).

Long Beach Public Library Foundation, a nonprofit organization dedicated to enhancing the Long Beach Public Library and encouraging literacy and education for all members of the community (2012 – 2020, Member, Board of Directors; President, 2016 – 2017).

Military Women in Need (presently known as the **Foundation for Women Warriors**), a nonprofit organization dedicated to helping economically disadvantaged female veterans with basic living expenses and assistance in times of need (2011 – 2016, Member, Board of Directors).